PTO/SB/08a/b (06-03)
Approved for use through 07/31/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ct of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet of 1

Complete if Known						
Application Number	10/025,195	A				
Filing Date	December 19, 2001	べら				
First Named Inventor	David Berd	ALL				
Art Unit	1642 C/y	2				
Examiner Name	Susan Nmn Ungar	ENTO 3.				
Attorney Docket Number	1225/1D414US2	159 100				

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

1

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ ⁶

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.usplo.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
Su	CA	D. Berd et al., "Induction of Delayed-Type Hypersensitivity (DTH) to Ovarian Cancer Cells After Treatment With An Autologous (AUT), Hapten-Modified Vaccine," <i>Proceedings of the American Association for Cancer Research Annual</i> , vol. 39, March 1998, page 356.		
Su	СВ	G. Garcia Manero et al., "Immunotherapy With an Autologous Cell Vaccine In Patients With Acute Myelogenous Leukemia (AML) in Second Remission," <i>Blood</i> , vol. 98, no. 11, November 2001, page 212b.		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature	1,	n	Date Considered 8/26/33

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

SHEET <u>1</u> OF <u>2</u>

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

(REV. 7-80)

LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

DOCKET NO.:

1225/1D414US

APPLICANT: DAVID BERD

SERIAL NO: FILING DATE: 10/025,195

December 19, 2001

U.S. PATENT DOCUMENTS

*EXAMINE INITIALS	R DOCUMENT <u>NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	SUBCLASS	FILING DATE
SIL	* 1. 5,484,596	01/16/96	Hanna, Jr., et al.	424	277.1	11/01/93
	* 2. 5,290,551	03/01/94	Berd	424	88	12/4/92
P	*3. 5,637,483	6/10/97	Dranoff et al.	424	93.21	6/23/94

FOREIGN PATENT DOCUMENTS

*EXAMINER INITIALS

DOCUMENT NUMBER

<u>DATE</u>

COUNTRY

CLASS SUBCLASS

TRANSLATION

YES NO

*4. WO 96/40173 19/12/96

OTHER REFERENCES

(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

*EXAMINER INITIALS

5. Marx, Jean L., Cancer Vaccines Show Promise at Last, Science, 245:813-815, 1989

*6. Hellström and Hellström, Tumor Immunology: An Overview, ANAYS, 690:24-33, 1993

*7. Dillman et al., "Irradiated, Cultured, Autologous Tumor Cells for Active Specific Immunotherapy", Proceedings of ASCO 14:546 (#1810), 1995

*8. Advani, S. et al., Oncology, 42:275-281, 1985

*9. Berd, D. et al., Proc. Ame. Assoc. Cancer Res., 36:677-678, 1995

*10. Berd et al., Proc. Am Soc. Clin Oncol. 2:56, Abstract C-217

*11. Dunton, C.J., et al. Gynecologic Oncology, 68:111, 1998

*12. Fugiwara, H. et al., J. Immunol., 132:1571-1577, 1984

SHEET 1 OF

FORM PTO-1449

MAR 1 4 2002

M

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

SHEET <u>2</u> OF <u>2</u> (REV. 7-80)

IST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

DOCKET NO.:

1225/1D414US2

414US2 SERIAL NO:

10/025,195

APPLICANT: DAVID BERD

FILING DATE:

December 19, 2001

24 *13. Mastrangelo, M. J. et al., Melanoma Research, 5:443, 1995

*14. Nahas, F. et. al., Cell. Immunol., 54:241-247, 1980

*15. Sato, T., Cancer Immunol. Immunother., 43:174-179, 1996

*16. Benjamin et al., Recent Results in Cancer Research 47:408-414, 1974

*17. Wiseman et al., Western J. Med. 151:283-288, 1989

*18. MacLean et al., J. Immunotherapy 11:292-305, 1992

*19. Longnecker, B.M., Soc. Biol. Therapy Annual Meeting, p. 31, 1992

*20. Levin et al., (in Human Tumours in Short Term Culture: Techniques and Clinical Applications, P.P.. Dendy, ed., Academic Press, London, pp. 277-280), 1976

*21. Gillette et al. J. Reticulo Soc., 30:331-339, 1981

*22. Sherman et al. J. Immunol., 123:501-502, 1979

*23. Bystryn, Cancer Metastasis Rev., 9:81-90, 1990

*24. Robins, Pathologic Basis of Disease, Fifth Edition, 1994, p. 1065

*25. PR Newswire (May 22, 2000) 1023, AVAX Technologies' O-Vac(TM) cancer Vaccine Induces Positive Immunological and Clinical Outcomes in Patients with Advanced Ovarian Cancer

EXAMINER:

DATE CONSIDERED

*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Filed in U.S. Serial No. 08/899,905; filed 07/24/97 and U.S. Serial No. 09/134,465; filed 8/14/98, or listed in Notice of References Cited.